

Goal-oriented Semantic Communication for Decentralized Intelligence

Marios Kountouris

Andalusian Research Institute in
Data Science and Computational Intelligence
Computer Science and AI Dept.
University of Granada, Spain

Communication Systems Dept.
EURECOM, France

I Brazilian Signal Processing Forum (BSP-Forum)

Rio de Janeiro, RJ, Brazil

June 21st, 2024



European Research Council
Established by the European Commission

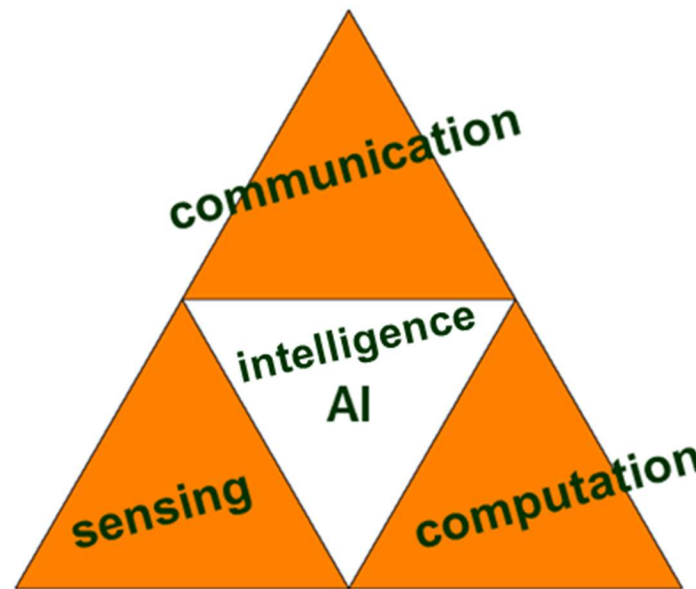
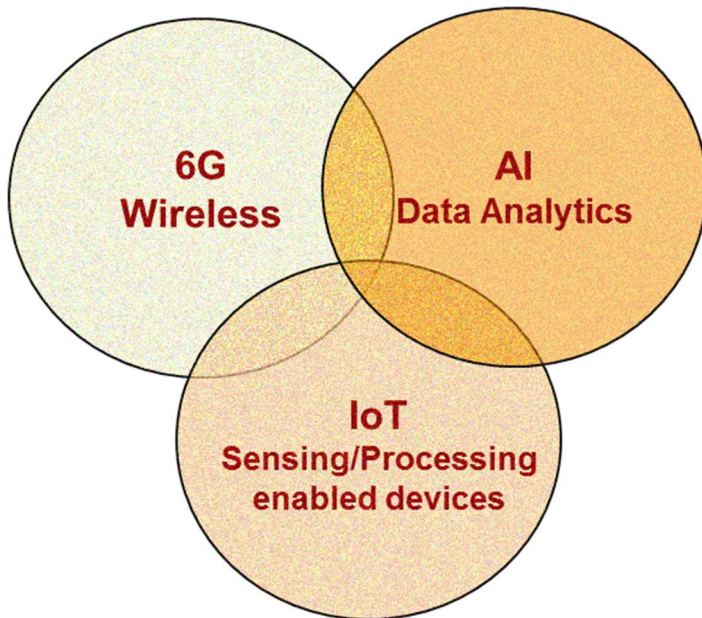
The Road to 6G

New Services & Use Cases

- Immersive, multisensory XR
- Hi-Res positioning, sensing, 3D mapping
- Holographic com., digital twins, metaverse
- eHealth, consumer robotics, tactile Internet

New Tech Enablers

- AI-native & Open Network Architectures
- Edge Intelligence
- Distributed Computing and Learning
- Joint Sensing and Communication



2G

3G

4G

5G

6G

Voice

Visio-phony

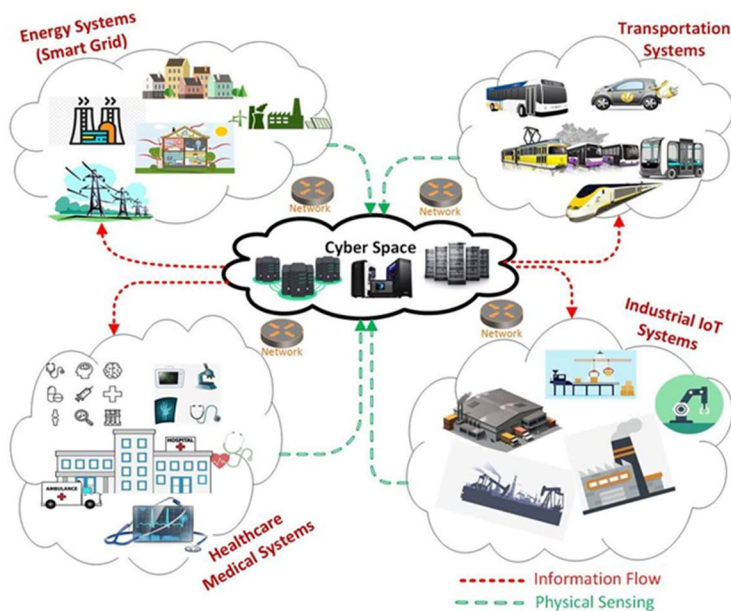
Mobile Internet

Wireless for Things

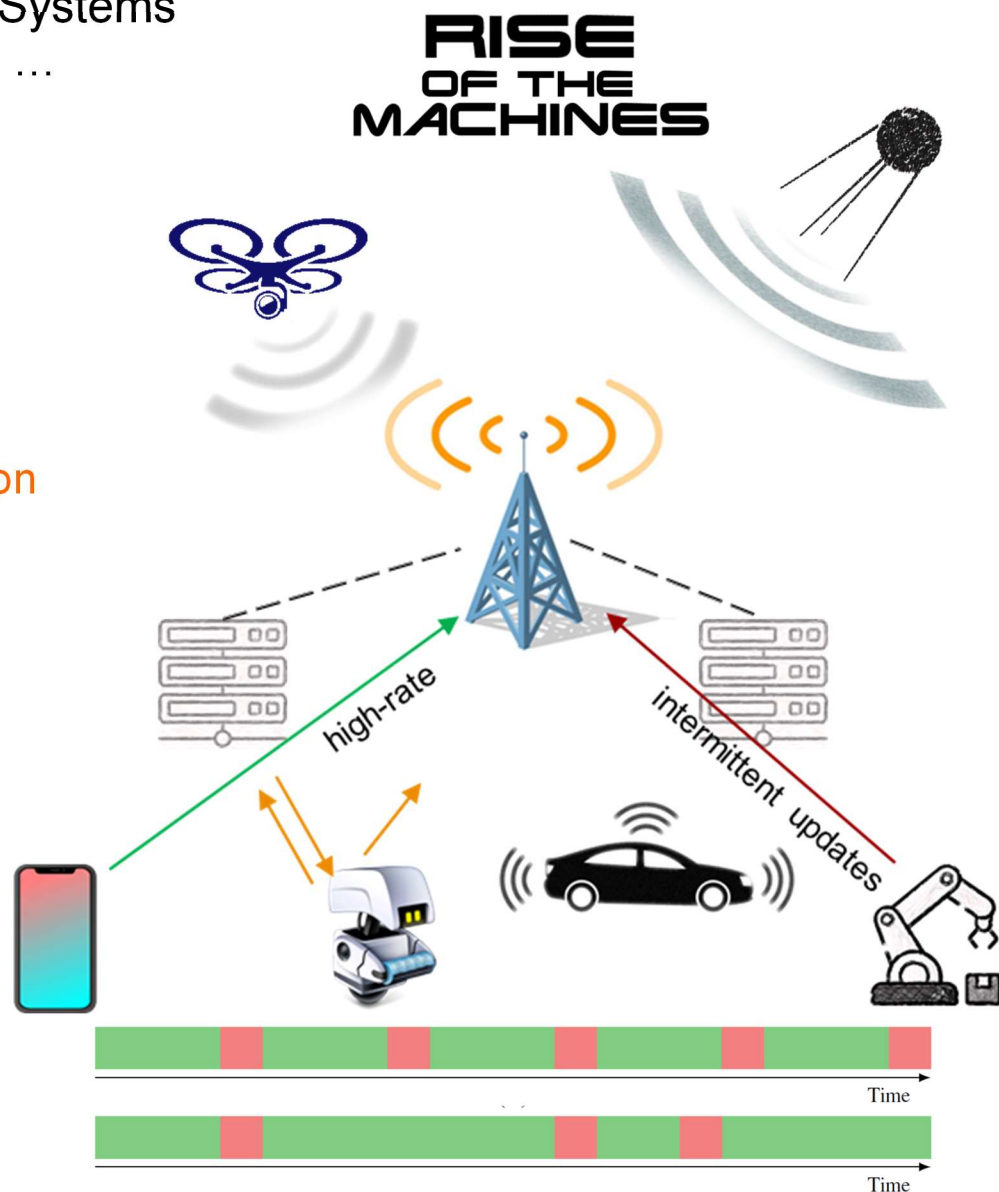
Wireless for ???

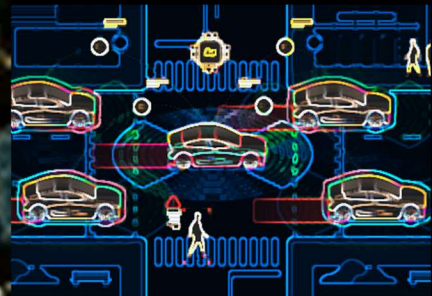
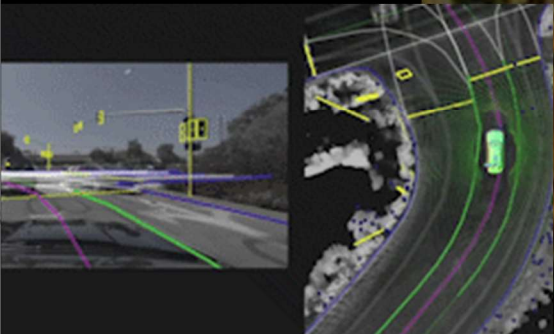
Fast Forward to 2030

- **Cyber-Physical & Mission-Critical Interactive** Systems
 - swarm robotics, self-driving vehicles, smart IoT, ...
- **Networked Intelligent Systems**
 - reliable **real-time communication**
 - **autonomous & automated** interactions
 - **timely & effective actuation**
 - **explainable & trustworthy decision making**
 - on-device, in-network, decentralized **computation**



ArXiv: 1812.02282





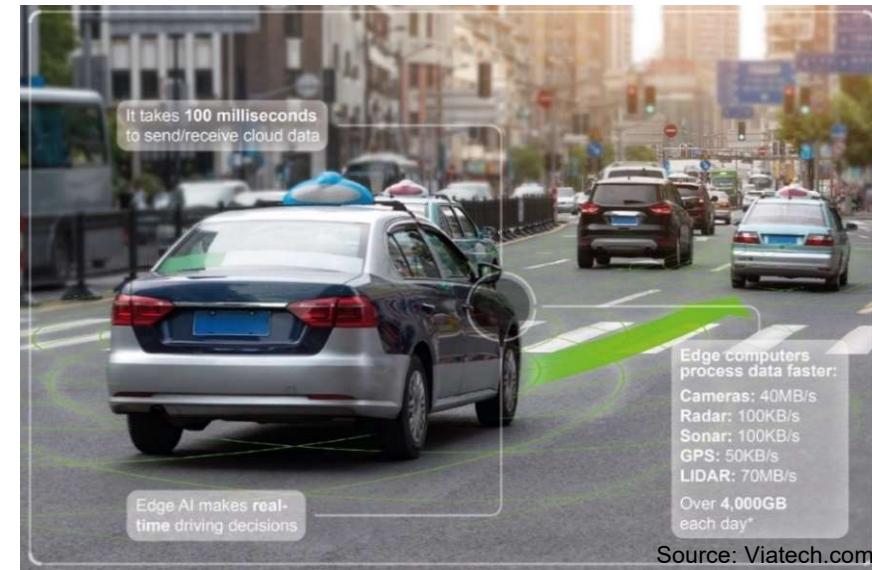
Hyper-connected Intelligence

Major Challenges

- acquire, process, transport, fuse, ...
massive amounts of data
 - generated by countless IoT connections

Onerous Constraints & Requirements

- Resources: energy, network, computational
- Security, privacy, sovereignty
- Explainability, trustworthiness, fairness
- Scalability



Let the numbers speak

- Edge Intelligence ~ 4 Tbps
- Autonomous transportation 4 TB/day
- Digital industry & robotics << 1 ms



How to do all that efficiently?

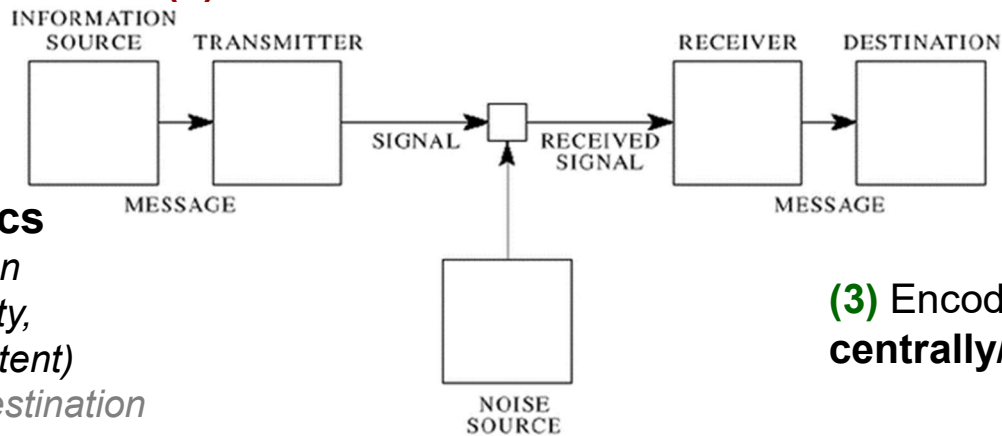
Do we have the right Theory & Algorithms?

The Road So Far

From Theory... (ad fontes)

Shannon's model

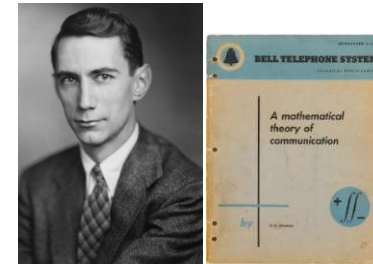
(1) Reliable transfer of information



(2) No semantics

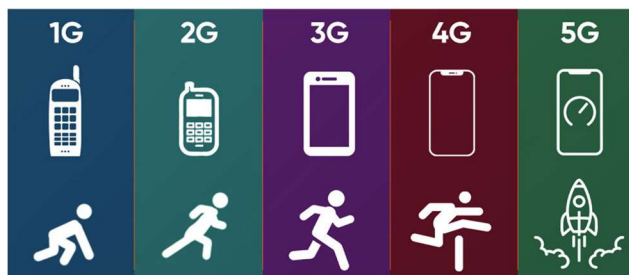
dichotomy between information quantity, and meaning (content) and its effect at destination

(3) Encoder and decoder centrally/jointly designed

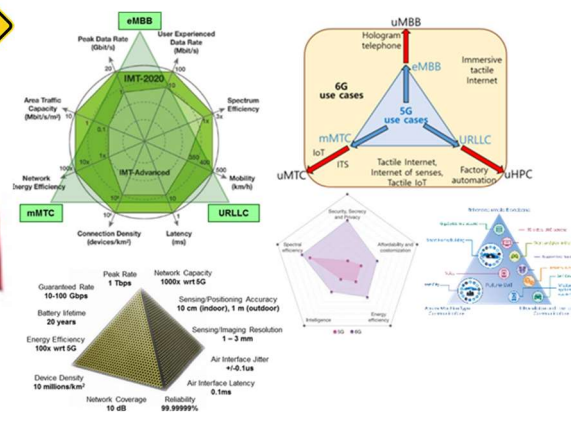


Focus on **noise** (& **equivocation**) rather than **signal**

... to Practice



CITIUS, ALTIUS, FORTIUS



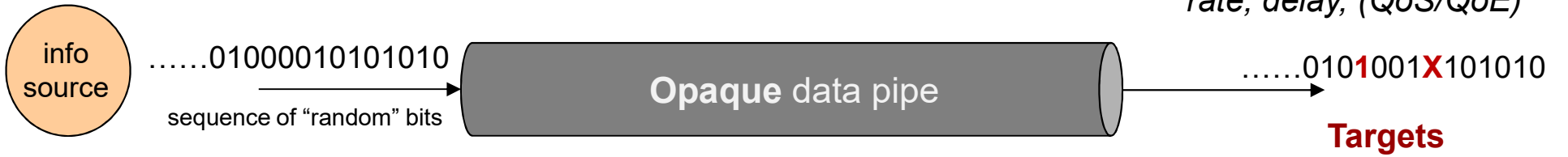
Communication Systems Evolution

- Inflated requirements
- Overprovisioning
- Resource-hungry
- Scalability issues

Maximalistic approach

Λακωνίζεiv: Less is More

Shannon's Communication Model (1948)



- **Exogenous** traffic
- **Exogenous** data acquisition/generation

Content Agnostic

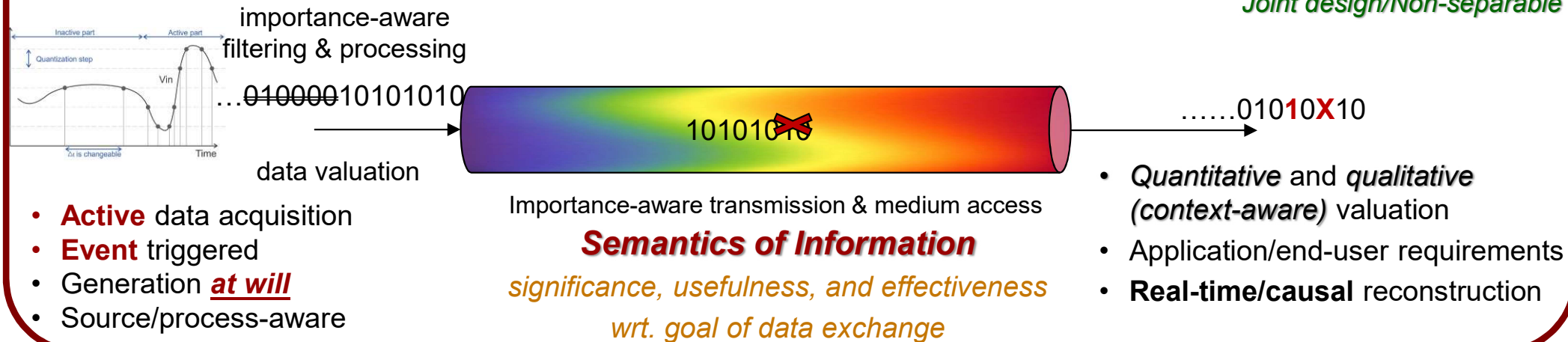
- **Reliable** transfer of information
- Reconstruct the entire information flow
- **Non-causal** signal reconstruction

Goal-Oriented Semantic Communication Model (202X)

Minimalistic approach

Goal-oriented *unification* of data generation, transport, and reconstruction/usage

Joint design/Non-separable



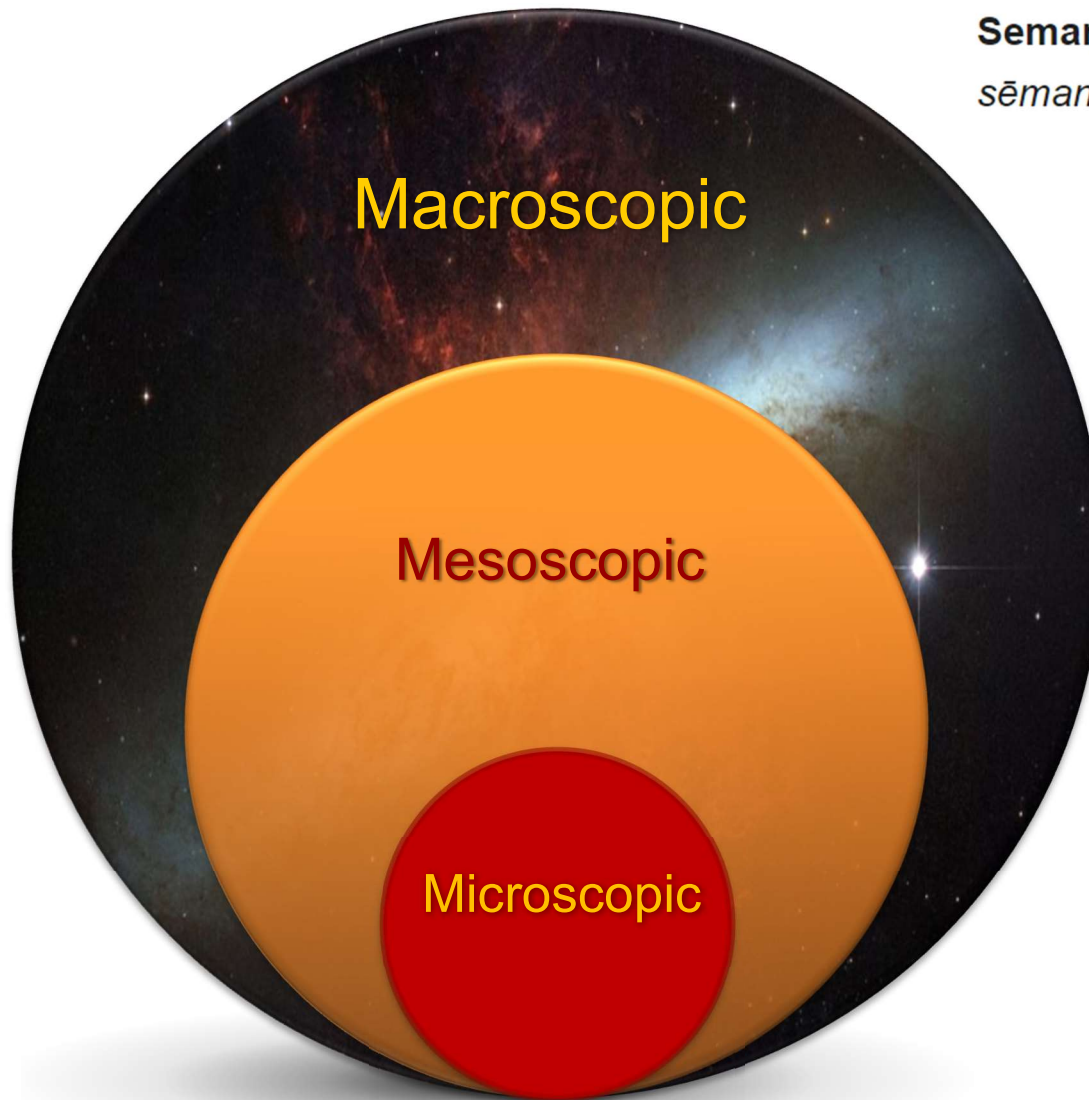
- **Active** data acquisition
- **Event** triggered
- Generation ***at will***
- Source/process-aware

Importance-aware transmission & medium access
Semantics of Information
significance, usefulness, and effectiveness
wrt. goal of data exchange

- **Quantitative** and **qualitative** (*context-aware*) valuation
- Application/end-user requirements
- **Real-time/causal** reconstruction

Search for *Semantics of Information*

How to *define* and *quantify* **significance** and **effectiveness**?



Semantics (from Ancient Greek: σημαντικός *sēmantikós*, "significant")

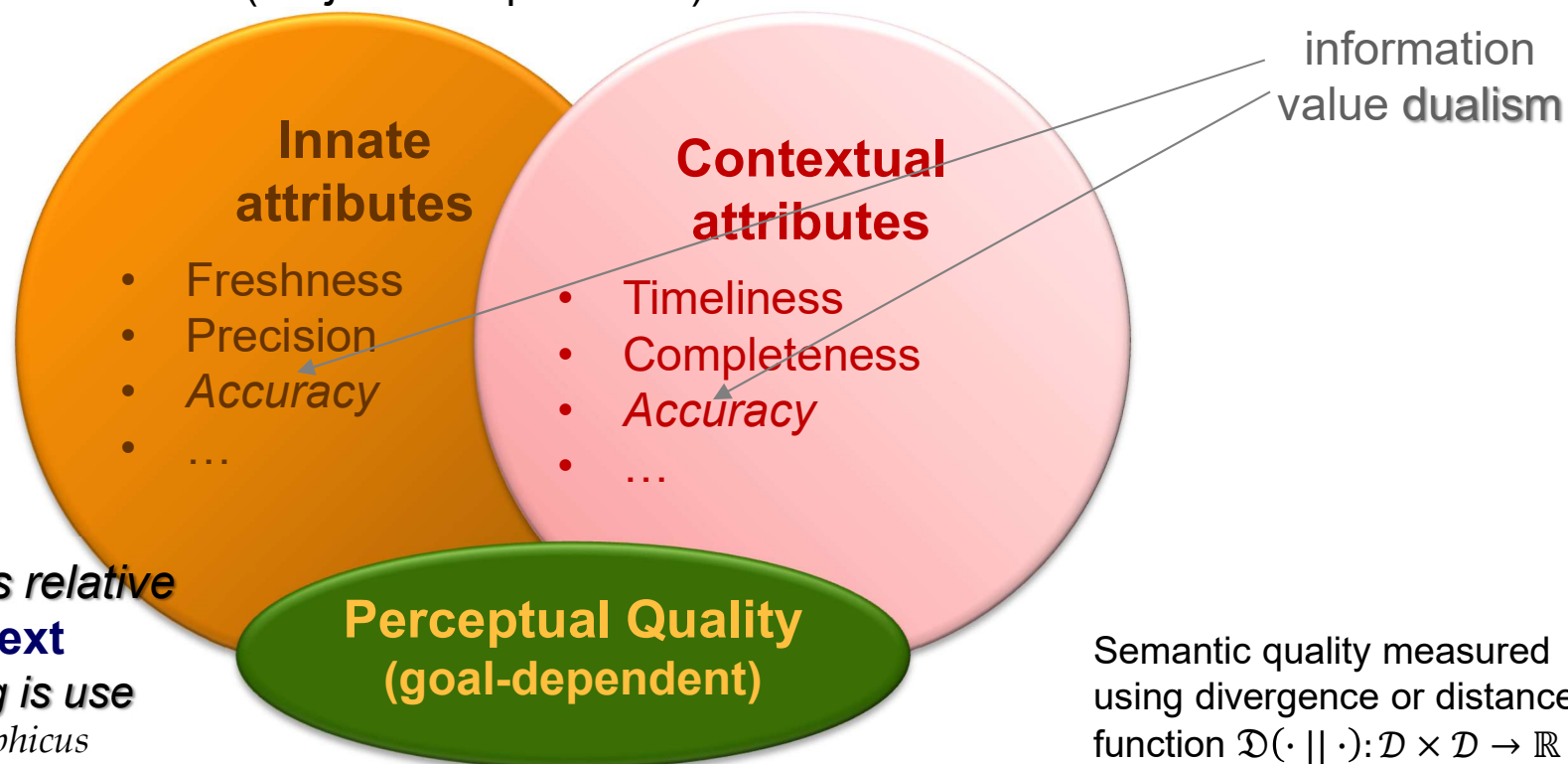
End-to-end, system state & timing "dilation"

Quantitative and *Qualitative* innate and contextual attributes of information

Relative importance of different outcomes, events, observations

Defining Data Importance & Effectiveness

- Let $\mathcal{V} \in \mathbb{R}^m$ denote the vector of m attributes of information, decomposed into:
 - $\mathcal{I} \in \mathbb{R}^n$ innate/intrinsic (*objective* - quantitative) $n, \ell \leq m$
 - $\mathcal{C} \in \mathbb{R}^\ell$ contextual/extrinsic (*subjective* - qualitative)



Semantics of Information $\mathcal{S}_t = v(\psi(\mathcal{V}))$

$v: \mathbb{R}^z \rightarrow \mathbb{R}$: context-dependent, cost-aware function

$\psi(\mathcal{V}): \mathbb{R}^m \rightarrow \mathbb{R}^z, m \geq z$: nonlinear, multi-dim function of vector of information attributes \mathcal{V}

Semantics of Information

Semantics of Information (Sol)

$$\mathcal{S}_t = v(\psi(\mathcal{V}))$$

$v: \mathbb{R}^z \rightarrow \mathbb{R}$: context-dependent, cost-aware function

$\psi(\mathcal{V}): \mathbb{R}^m \rightarrow \mathbb{R}^z, m \geq z$: nonlinear, multi-dim function of vector of information attributes \mathcal{V}

A toy example

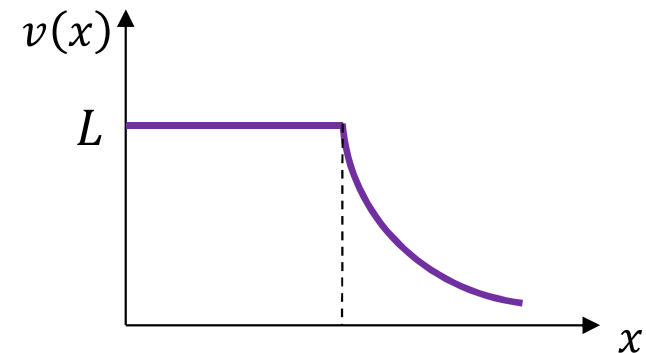
- Information Freshness/Age of Information (Aol): $\Delta_t = t - u_t$

u_t : generation time of the newest sample that has been delivered at the destination by time instant t

- Accuracy (distortion): $\delta: \mathcal{X} \times \mathcal{X} \rightarrow \mathbb{R}_{\geq 0}$ e.g., $\delta(X_t, \hat{X}_t) = (X_t - \hat{X}_t)^2$

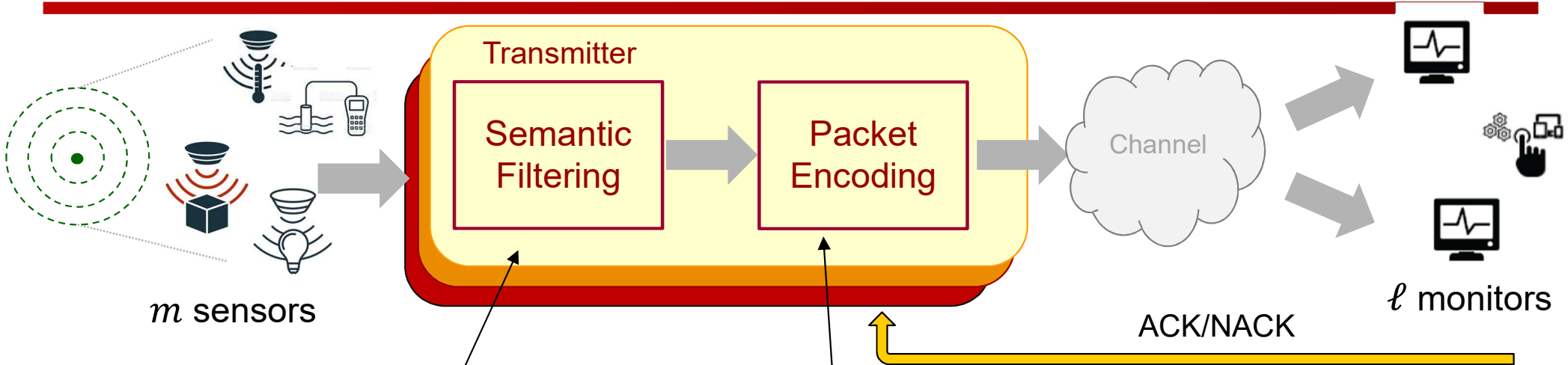
- $\psi(x, y) = Kxy$, so $\psi(\Delta_t, \delta) = K(t - u_t)(X_t - \hat{X}_t)^2$

- Timeliness: $v(\Delta_t) = \max(L, Le^{-\Delta_t}), x \geq 0$



- Special cases of Sol: Aol (vanilla, nonlinear, Aoll,..), Vol, Qol,...

Semantic Source Coding in Multiuser Systems



$\mathcal{X} = \{x_1, x_2, \dots, x_n\}$
discrete symbols

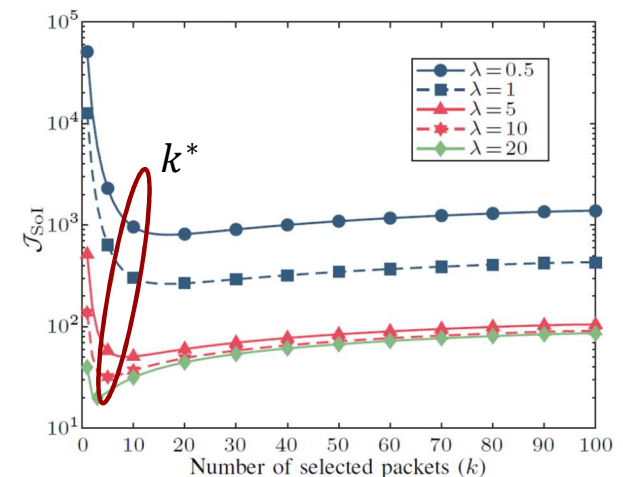
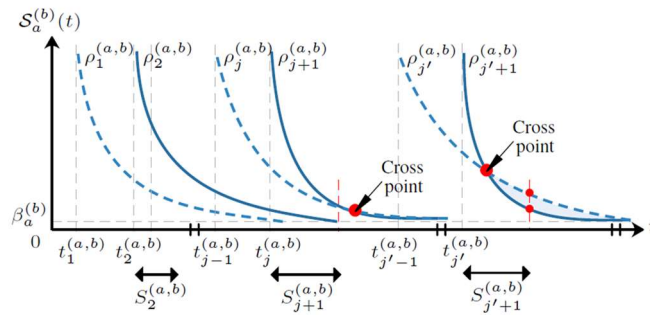
Probability of realization
 $\tilde{p}_i = P_X(x_i)$ – known PMF

$w \log \tilde{p}_i \geq \tilde{p}_j, \forall i \leq j$

Admitted packets encoded using a **prefix-free code** based on the truncated distribution with conditional probabilities

Metric: Sol: $\mathcal{S}(t) = g(\Delta(t))$
 g : non-increasing function
AoI: $\Delta(t) = t - u(t)$

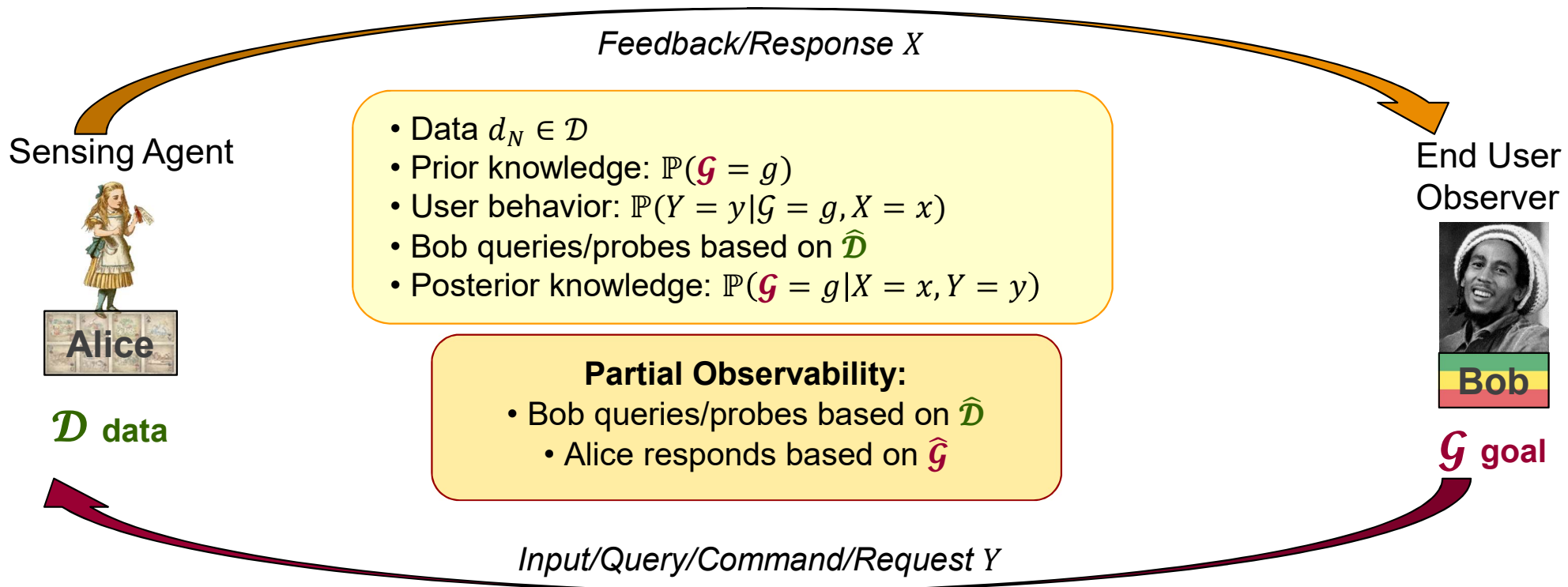
$$p_i = \frac{\tilde{p}_i}{\sum_{i \in \mathcal{J}_k} \tilde{p}_i}, \forall i \in \mathcal{J}_k \subset \mathcal{J}$$



Importance/Value assessment

- function of prob. occurrence & value of update packet
- Value: feature fusion (e.g., Choquet's discrete integral)
- "irrelevant" realizations censored

Interactive Communications



Alice's prior knowledge: $H(\mathcal{G})$

Alice's posterior/current knowledge: $H(\mathcal{G} | Y = y, X = x)$

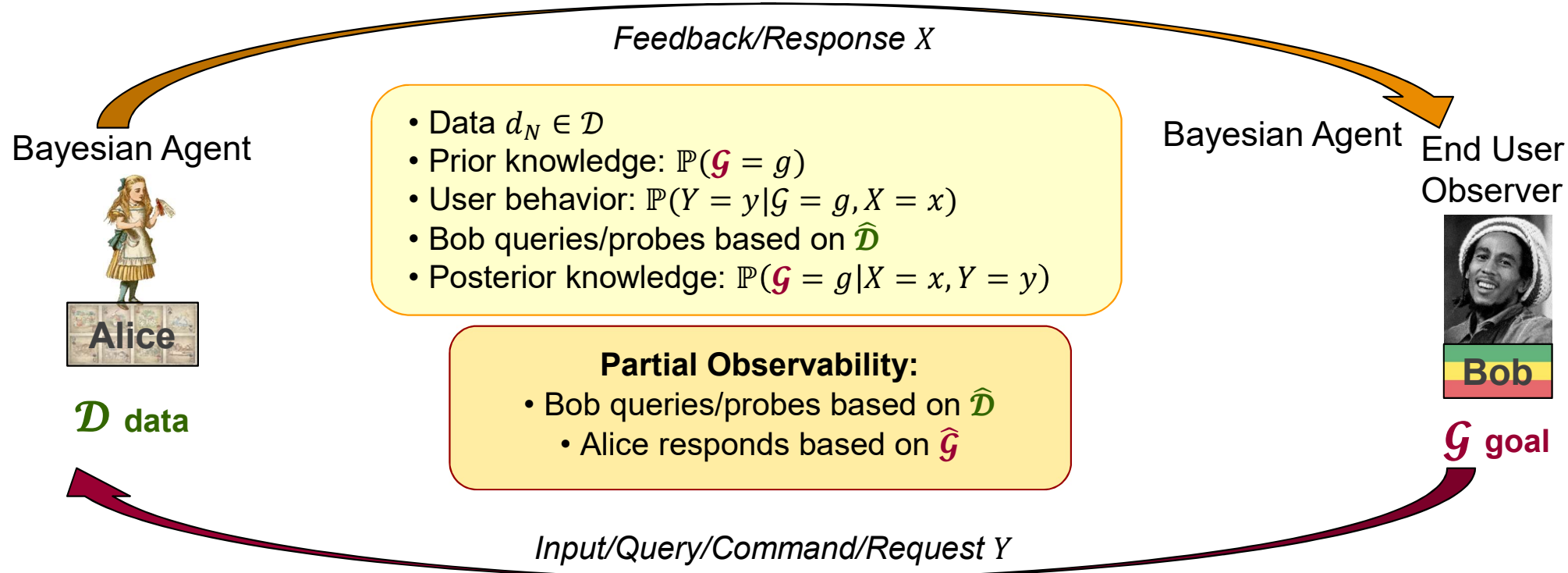
Semantic Queries: high $H(\mathcal{G}) - H(\mathcal{G} | Y = y, X = x)$

Mutual Information

$$I(\mathcal{G}; Y | X = x)$$

what Bob wants and what he sends after seeing $X = x$

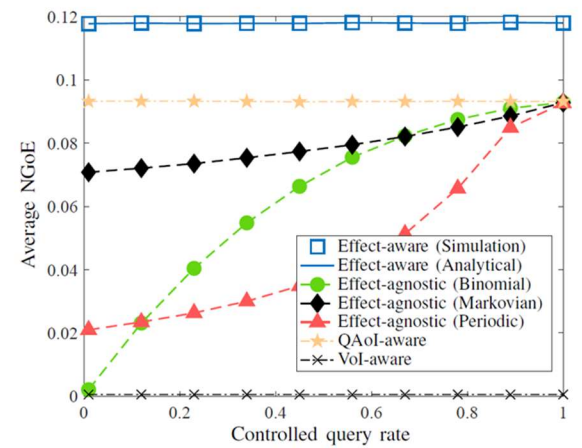
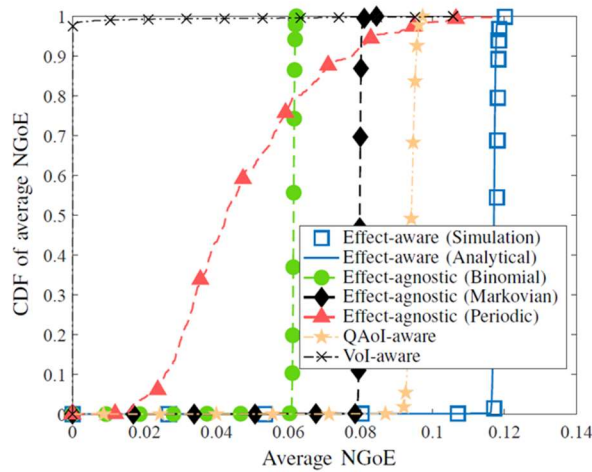
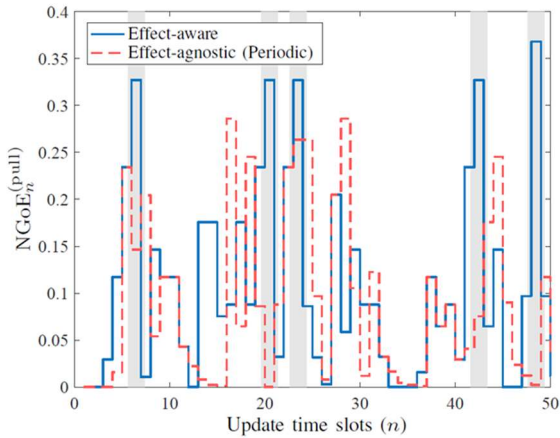
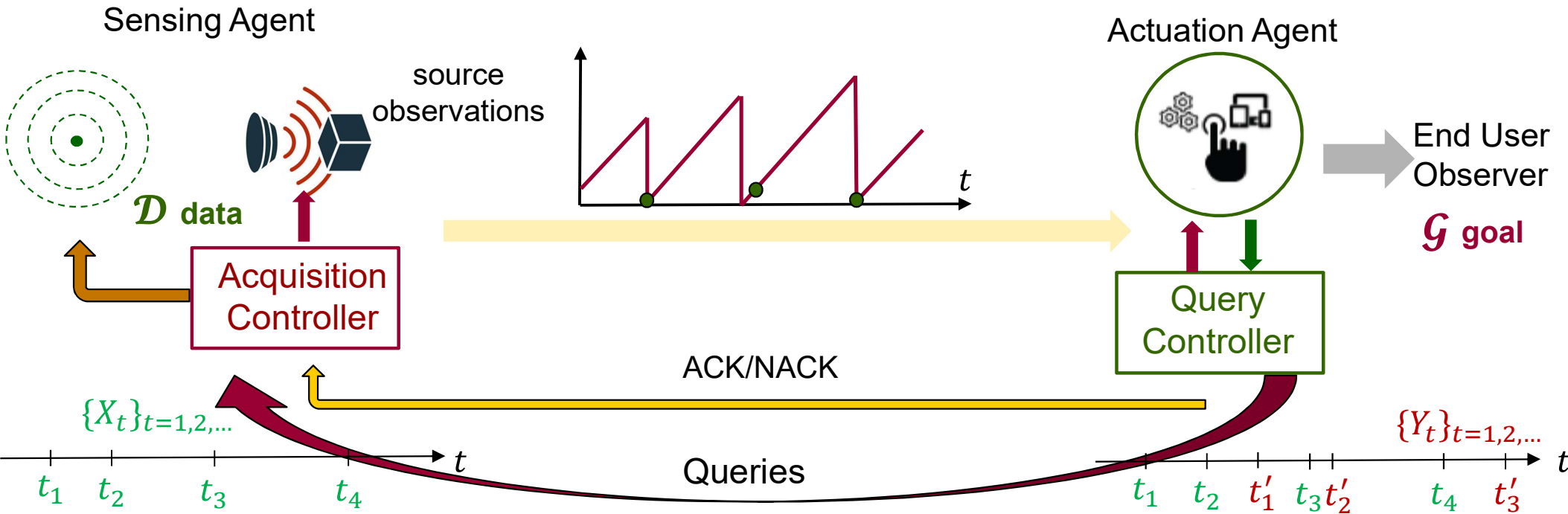
Bayesian Semantic Communication Model



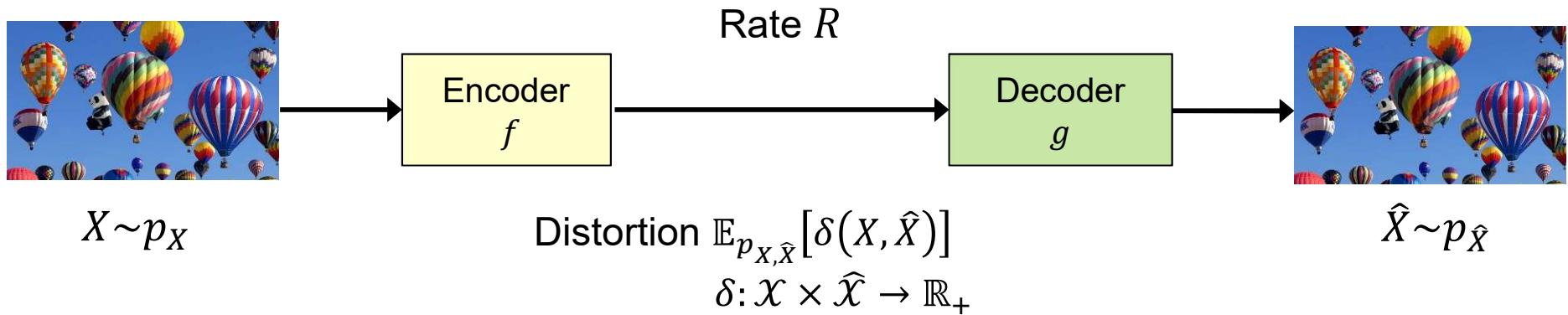
- Agent: belief $p_{\mathcal{G}}(x|\mathcal{G})$ (set of distributions) and prior $p_{\mathcal{G}}(\mathcal{G})$
- Finite data $d_N = \{x_1, x_2, \dots, x_N\} \in \mathcal{D}$
- Bayesian entropy: $H_{\mathcal{G}}(X|d_N) = \sum_{x \in \mathcal{X}} p(x) \log p_{\mathcal{G}}(x|d_N)$
- Bayesian MI: $I_{\mathcal{G}} = H_{\mathcal{G}}(X|d_N) - H_{\mathcal{G}}(X|Y, d_N)$

- **No Data-Processing Inequality**
 - data can add information
 - processing can help
- **Information not always beneficial**

Effective Pull-based Communication

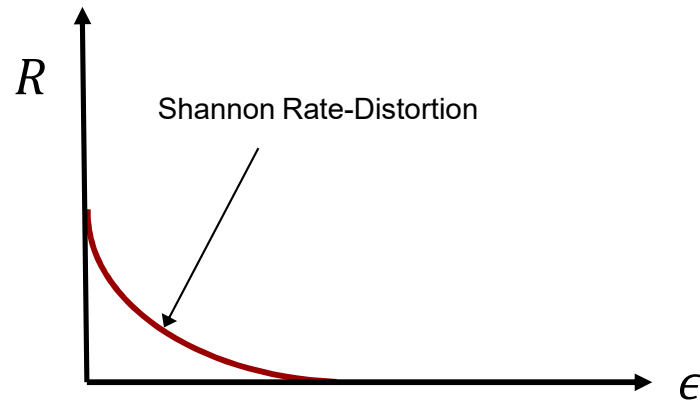


Goal-agnostic Information Transmission

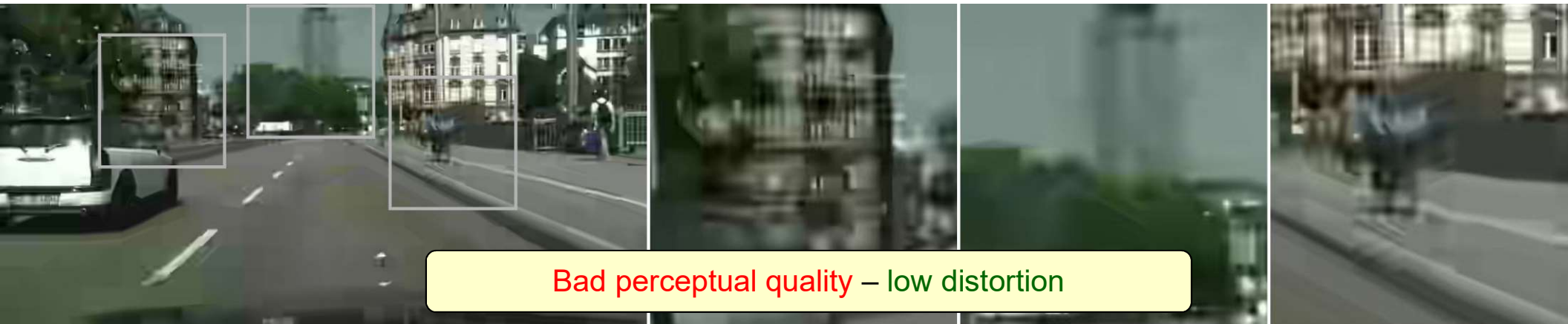


$$R(\epsilon) = \min_{p_{\hat{X}|X}} I(X, \hat{X})$$

s.t. $\mathbb{E}_{p_{X, \hat{X}}}[\delta(X, \hat{X})] \leq \epsilon$



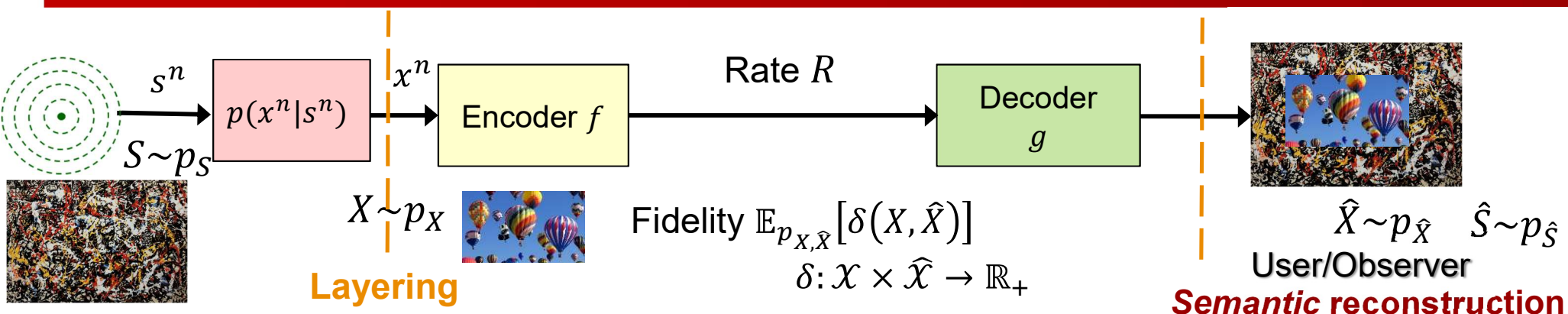
Semantic Quality



Good perceptual quality \neq low distortion

Agustsson et al. (2018)

Goal-oriented Information Handling

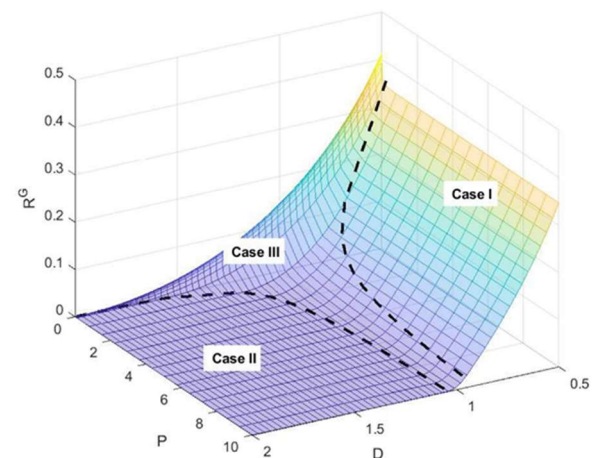
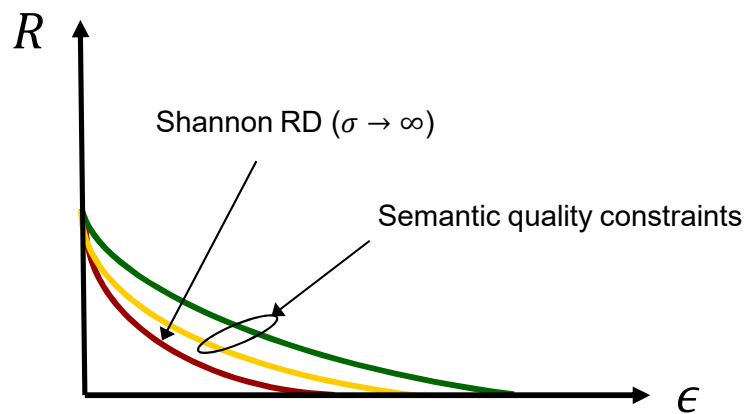


$$R(\epsilon, \sigma) = \min_{p_{\hat{X}|X}} I(X, \hat{X})$$

s.t. $\mathbb{E}_{p_{X, \hat{X}}}[\delta(X, \hat{X})] \leq \epsilon$
 $\mathbb{E}_{p_{S, \hat{S}}}[\delta(S, \hat{S})] \leq \vartheta$
 $\mathcal{D}(p_S, p_{\hat{S}}) \leq \sigma$
 $\mathcal{D}(p_X, p_{\hat{X}}) \leq \varpi$

Semantic quality metrics

- divergence (Wasserstein, f -div, α -div, ...)
- generalized entropic measures



$R(D, P)$ for $X \sim \mathcal{N}(0,1)$ under direct KL div.

Fidelity criteria (distortion metrics)

$$\delta(X, \hat{X}) = \sum_i \omega_i \|\mathcal{F}_i(X) - \mathcal{F}_i(\hat{X})\|^2$$

\mathcal{F}_i : feature-based mapping function

Alpha Divergence in Rate-Distortion-Perception Theory

Rate-Distortion-Perception (RDP)

$$R(D, P) \triangleq \inf_{p_{\hat{X}|X}} I(X, \hat{X})$$

$$\text{s.t. } \mathbb{E} \left[d(X, \hat{X}) \right] \leq D$$

$$D(p_X || p_{\hat{X}}) \leq P$$

$$X \sim \mathcal{N}(\mu, \sigma^2)$$

jointly Gaussian

$$\hat{X} \sim \mathcal{N}(\nu, \rho^2)$$

$$R(D, P) \leq R^G(D, P)$$

Gaussian RDP

$$R^G(D, P) = \min_{p_{\hat{X}|X}, \nu, \rho^2} I(X, \hat{X})$$

$$\text{s.t. } \mathbb{E} \left[(X - \hat{X})^2 \right] \leq D,$$

$$D_\alpha(p || q) \leq P.$$

Parametric jointly Gaussian RDP solution

$$R^G(D, P) = \begin{cases} \max \left\{ \frac{1}{2} \log \frac{\sigma^2}{D}, 0 \right\} & \text{if } (D, P) \in \mathcal{S} \\ \frac{1}{2} \log \frac{2\rho^2\sigma^2}{\rho^2\sigma^2 - \left(\frac{\sigma^2 + \rho^2 - D}{2}\right)^2} & \text{if } (D, P) \notin \mathcal{S} \end{cases}$$

where

$$\rho^2 = \begin{cases} \sigma^2 - D & \text{if } (D, P) \in \mathcal{S} \\ \min\{r_0, r_1\} & \text{if } (D, P) \notin \mathcal{S}. \end{cases}$$

$$\mathcal{S} = \left\{ (D, P) \in \mathbb{R}_+^2 : P > g(D, \sigma) \wedge \right.$$

$$\left. (\alpha - 1) \left(\left| 1 - \frac{D}{\sigma^2} \right| - \left(1 - \frac{1}{\alpha} \right) \right) > 0 \right\}$$

$$g(D, \sigma) = \frac{1}{\alpha(1-\alpha)} \left(1 - \frac{\sigma^{1-\alpha} |\sigma^2 - D|^{\alpha/2}}{\sqrt{\alpha |\sigma^2 - D| + (1-\alpha)\sigma^2}} \right)$$

with r_0 and r_1 being the roots of $f(x) = x^\alpha - \alpha Cx - (1-\alpha)C$

α - Divergence [Chernoff52, Amari82]

unique canonical divergences at the intersection of the f -divergences and Bregman divergences in a manifold of positive measures

$$D_\alpha(p || q) = \frac{1}{\alpha(\alpha - 1)} \left(\int_{-\infty}^{\infty} p(x)^\alpha q(x)^{1-\alpha} dx - 1 \right)$$

$$\alpha \in \mathbb{R} \setminus \{0, 1\}.$$

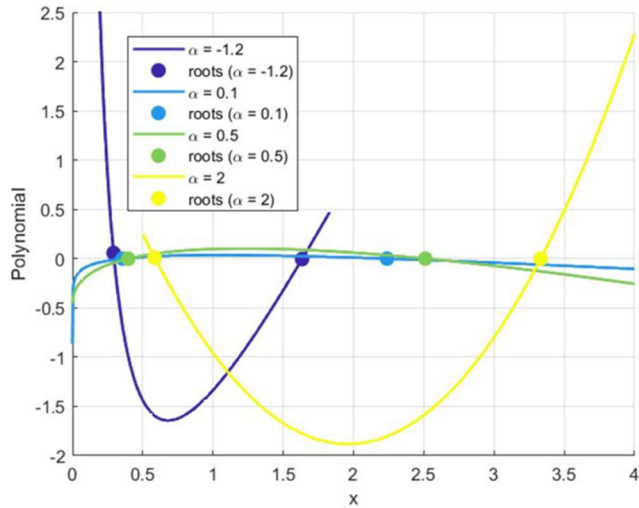
For two Gaussians:

$$D_\alpha(p || q) = \frac{1}{\alpha(1-\alpha)} (1 - H_\alpha(p, q))$$

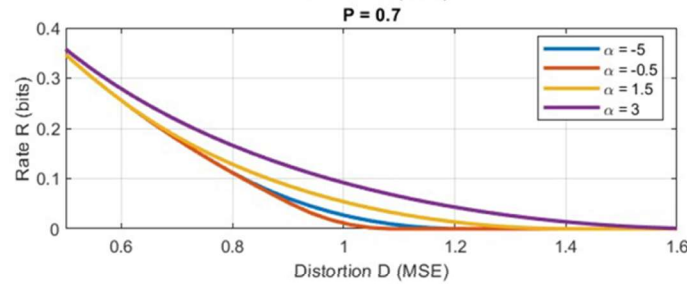
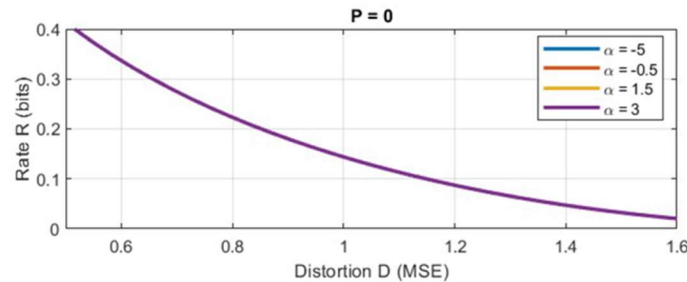
$$H_\alpha(p, q) = \frac{\rho^\alpha \sigma^{1-\alpha}}{\sqrt{\alpha \rho^2 + (1-\alpha)\sigma^2}} e^{-\frac{\alpha(1-\alpha)(\mu-\nu)^2}{2(\alpha \rho^2 + (1-\alpha)\sigma^2)}}$$

$$x = \frac{\rho^2}{\sigma^2} \text{ and } C = (1 - \alpha(1 - \alpha)P)^2$$

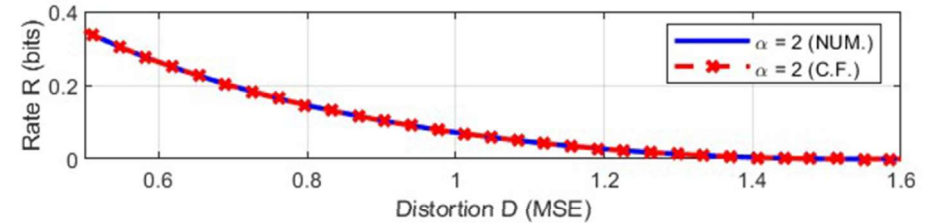
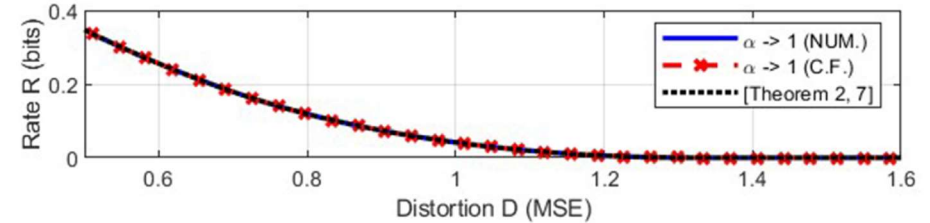
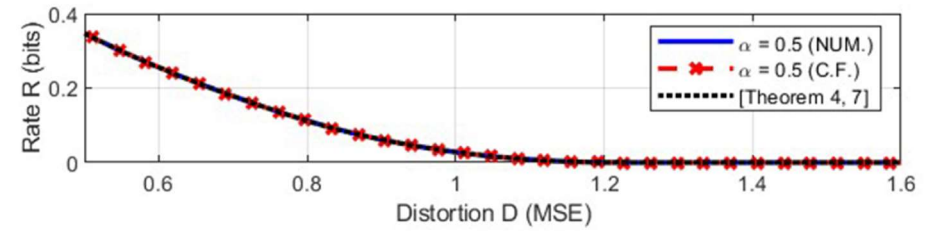
Alpha Divergence in Rate-Distortion-Perception Theory



Polynomial for $P = 0.2$



RD curves for diff. α and P



RD curves for fixed $P = 0.5$

Special cases

- Hellinger div. ($\alpha = 0.5$)
- KL div. ($\alpha \rightarrow 1$)
- Reverse KL div. ($\alpha \rightarrow 0$)
- Pearson div. ($\alpha = 2$)

Pearson divergence ($\alpha = 2$)

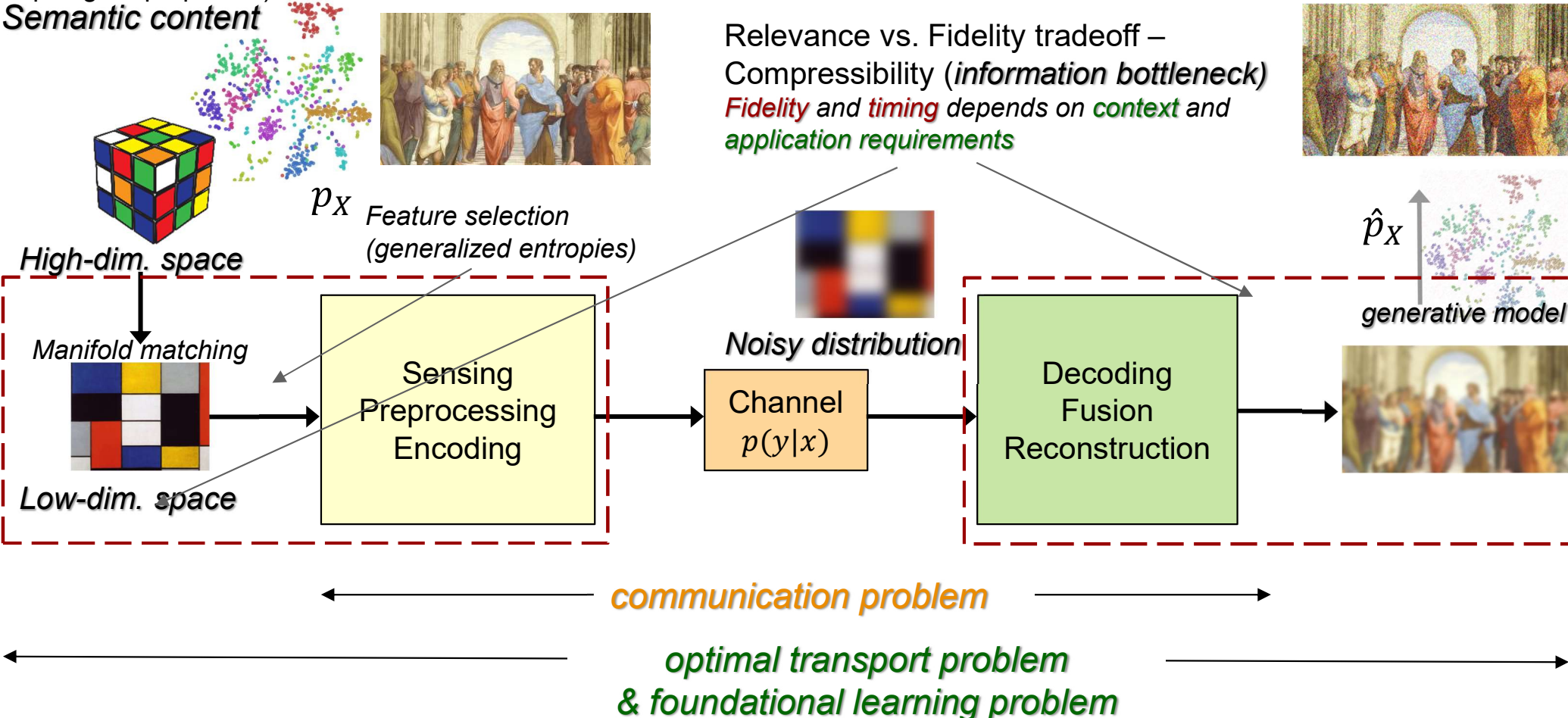
$$\rho^4 - 2(1 + 2P)^2 \rho^2 \sigma^2 + (1 + 2P)^2 \sigma^4 = 0$$

$$\rho^2 = \begin{cases} \sigma^2 (1 + 2P) (1 + 2P + 2\sqrt{P + P^2}) & \text{if } \rho^2 - (1 + 2P)^2 \sigma^2 > 0, \\ \sigma^2 (1 + 2P) (1 + 2P - 2\sqrt{P + P^2}) & \text{if } \rho^2 - (1 + 2P)^2 \sigma^2 \leq 0. \end{cases}$$

Exiting Plato's Cave

Complex data (feature richness, structural and topological properties). & abstraction

Semantic content

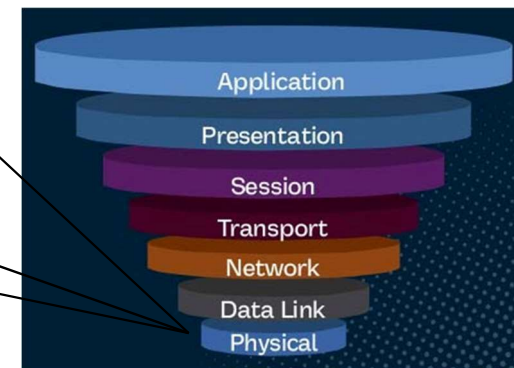


- Communicating *high-dimensional, multi-modal, multi-source rich data*
- Intriguing connections with optimal transport, generative models, decision-making, inference...
- **Information Manifold:** rate distortion perception manifolds for semantic information spaces

Plethora of Challenges

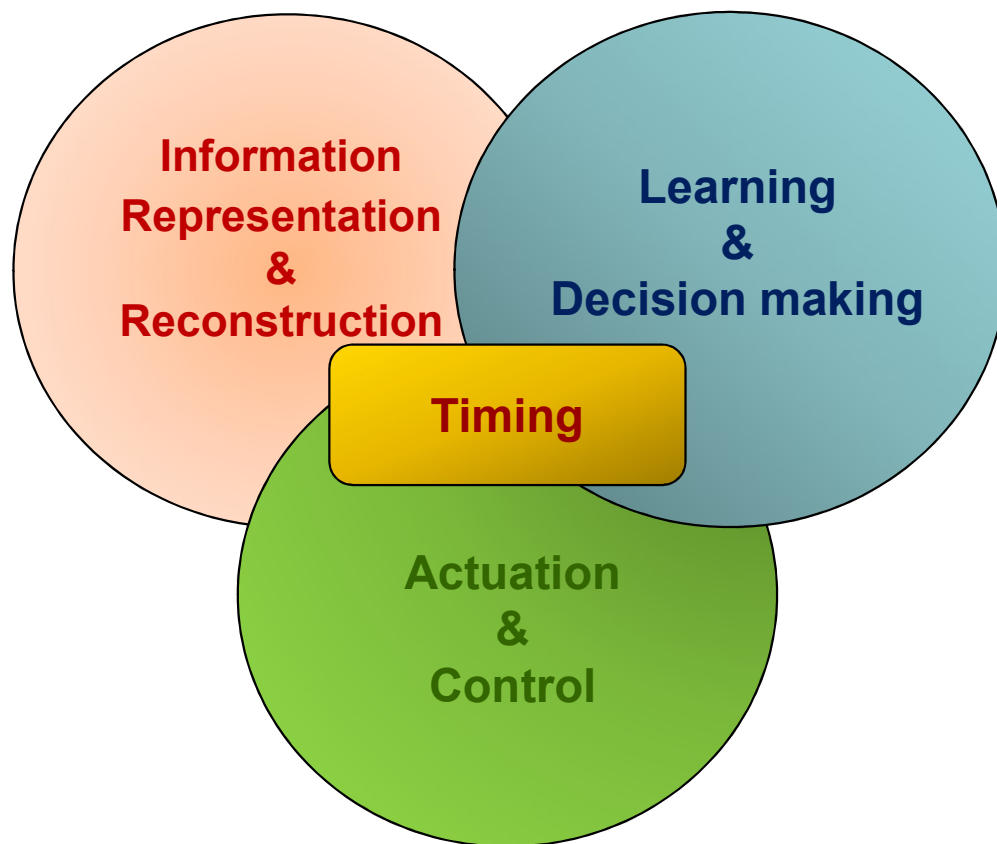
- Abandon *epistemology* and *doxastic logic* in the interest of Science
- Come up with a clear, crisp definition of information semantics/effectiveness
- Universal metrics vs. Subjectivity
- Formalize the notion of “subjectivity” (perception, context, ...)
- Have a calculus for characterizing “goals” and “requirements”
- Tackle multi-source/multi-agent problems (multivariate information theory)
- Learn how to communicate/optimally transport rich data and distributions
- Risk-averse decision-making and *prospect*-based RRM
- Develop relevant AI/ML and Foundation Models for SemCom
- Reconciliate IT models with time (incl. (d)JSCC)

- See the Big Picture!



Redefining Effectiveness and Timing

- **Context** in ComSys: presupposed physical/comm dimensions (time, location, role)
- Background knowledge & side info is key



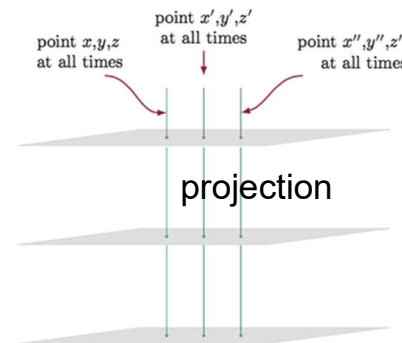
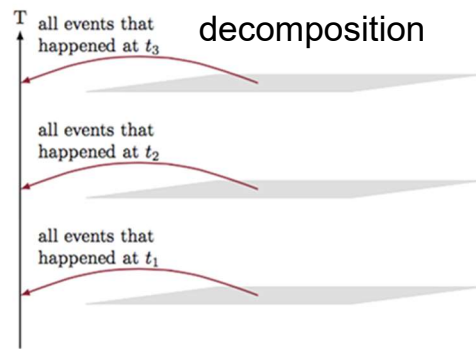
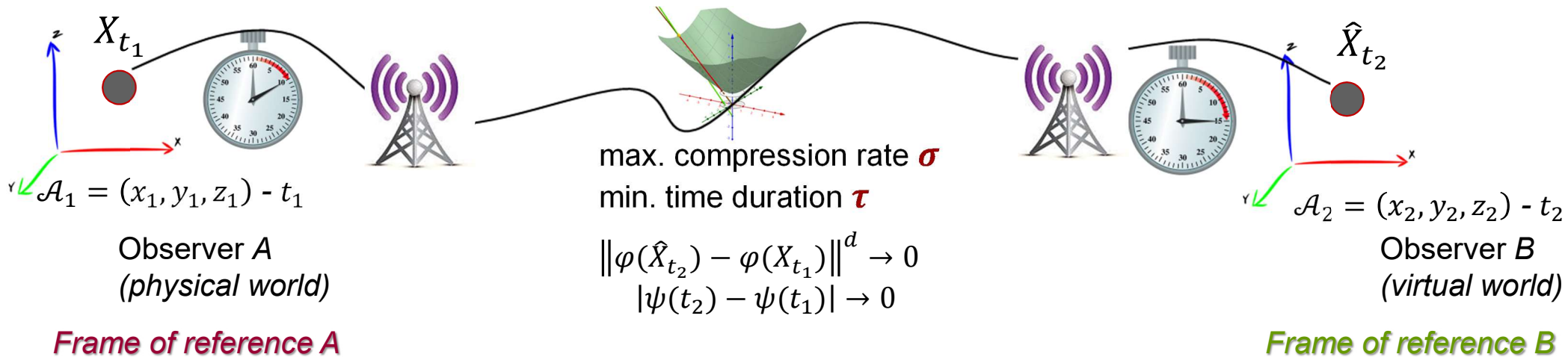
Wittgenstein's *meaning is use*
Tractatus Logico Philosophicus

Meaning is Context

- **Effectiveness**: measured wrt. to the goal/use of the data exchange (@observer side)
- *Semantic information is relative*
- **Timing** is related to effectiveness in different communication scenarios

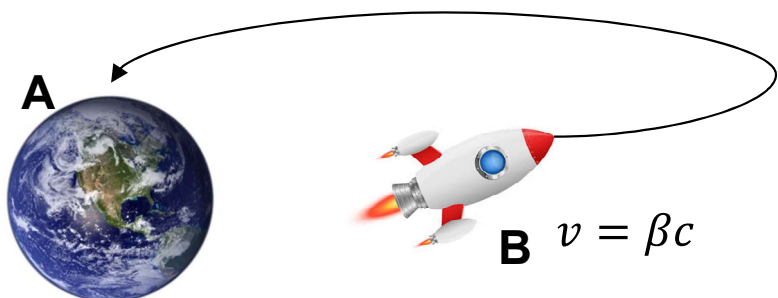
A Mathematical Theory of Timing

“Relativistic” & Relational Information Transfer

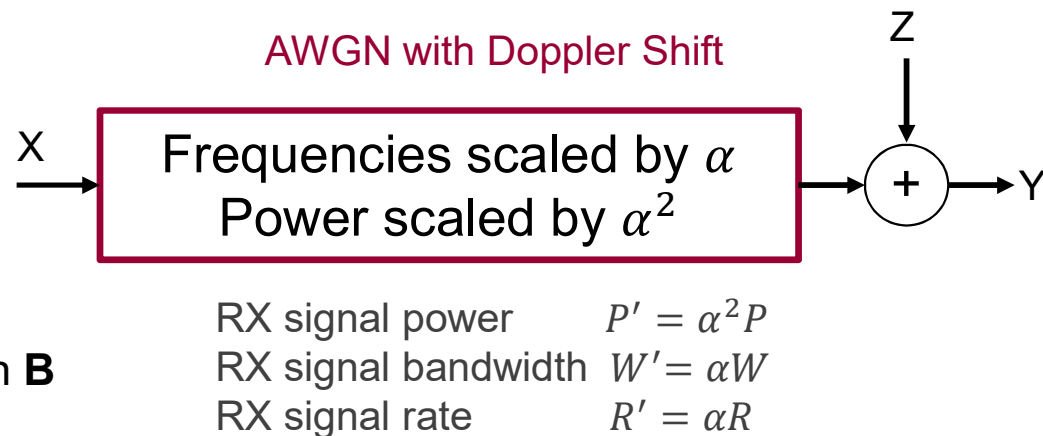


- “Twin paradox” analogy: *frame* dependent system state and evolution
- Correct system “timing dilation” & “distortion/state error” - Event invariance
- Redefining timing, synchronicity, simultaneity in comm. systems

Relativistic Information Transmission



A has aged by a factor of $\delta = (1 - \beta^2)^{-1/2}$ more than B



Doppler factor $\alpha = (1 + \beta)\delta$

Cost of Asymmetry in Twin Problem

[Jarett&Cover'81]

For a given TX rate and bandwidth

- Traveler needs δ times the energy of the stationary spaceship to transmit $1/\delta$ times as much information
- Asymmetry in efficiency is thus δ^2
- Independent of acceleration and gravitational fields
- Shown for special cases, conjecture for arbitrary trajectory

If the RX sees the TX moving

$$\begin{aligned} \text{Max. RX rate: } C' &= W' \log \left(1 + \frac{P'}{NW'} \right) \\ &= \alpha W \log \left(1 + \frac{\alpha P}{NW} \right) \text{ bits/s} \end{aligned}$$

$$\text{Max. TX rate: } C = C' / \alpha$$

Info Theoretic Analog of Twin Paradox

Asymmetry in Twin Paradox

- Max. # bits/s that **A** can transmit reliably to **B** > the one **B** can transmit to **A**
- [Jarett&Cover'81]: proved for the special cases of
 - purely circular (**B** moving on a circular orbit around **A**)
 - purely radial (**B** moving away from **A** along a straight line, and coming back the same way)
constant-speed motion
- **We show that this is true for an arbitrary trajectory**

$$\frac{E_A/N_A}{E_B/N_B} = \frac{(\bar{P}_A T_A)/(\bar{C}_A T_A)}{(\bar{P}_B T_B)/(\bar{C}_B T_B)} = \frac{\bar{C}_B}{\bar{C}_A}$$

$$\bar{C}_A > \bar{C}_B \Rightarrow E_A/N_A < E_B/N_B$$

Key Inequality for Proof

Let $f: [0, 1] \rightarrow \mathbb{R}$ be a function satisfying $|f(x)| \leq b < 1$ and $\int_0^1 f(x) dx = 0$

$$D_{\text{KL}}(1 - f \parallel 1) - D_{\text{KL}}(1 \parallel 1 + f) > \log(1 - b^3),$$

$$b := \max_{\tau} |\beta_r(\tau)|, \int_0^{T_B} \beta_r(\tau) d\tau = 0$$

Epilogue

- To support connected intelligence and autonomous (real-time) systems in future wireless networks
 - fundamental theoretical advances
 - augmenting prevailing communication design paradigms
- Goal-oriented Semantic Communications: a paradigm that redefines *importance* and *timing* in communication systems
- Taming “*subjectivity*” and achieving “*universality*” may pass through timing/time aspects
- Promising gains: significant improvement in
 - network resource usage
 - energy consumption
 - computational efficiency } *scalability*
- Intriguing connections with learning, optimal transport,
- generative AI, control, decision-making... & many fundamental tradeoffs!



European Research Council
Established by the European Commission